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PTO/SB/21 (08-00)

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

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| <b>TRANSMITTAL<br/>FORM</b><br><br>(to be used for all correspondence after initial filing) | Application Number     | 08/818,884              |
|   | Filing Date            | March 17, 1997          |
|   | First Named Inventor   | Shunpei YAMAZAKI et al. |
|   | Group Art Unit         | 2871                    |
|   | Examiner Name          | Dung T. Nguyen          |
| Total Number of Pages in This Submission  | Attorney Docket Number | 0756-1653               |

## ENCLOSURES (check all that apply)

|  |   |   |
|--|---|---|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment / Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Declaration and Power of Attorney<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosures<br>1. Correction to Previously Submitted IDS and PTO 1449 Form<br>2.<br>3.<br>4.<br>5.<br>6. |
| <b>Remarks</b><br><input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees required or credit any overpayments to Deposit Account No. 50-2280 for the above identified docket number.   |   |   |

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|                               |  |
|-------------------------------|--|
| Firm<br>or<br>Individual name | Eric J. Robinson, Reg. No. 38,285<br>Robinson Intellectual Property Law Office, P.C.<br>PMB 955<br>21010 Southbank Street<br>Potomac Falls, VA 20165 |
| Signature                     |  |
| Date                          | 2-27-05  |

## CERTIFICATE OF MAILING

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| Type or printed name | Adele M Stamper |      |         |
| Signature            | Adele M Stamper | Date | 2.27.06 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.



Attorney Docket No. 0756-1653

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Shunpei YAMAZAKI et al.

Serial No. 08/818,884

Filed: March 17, 1997

For: ELECTRO-OPTICAL DEVICE AND  
METHOD FOR MANUFACTURING  
THE SAME

) Group Art Unit: 2871

) Examiner: D. Nguyen

) CERTIFICATE OF MAILING

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) Adrian M. Stampen

**CORRECTION TO PREVIOUSLY SUBMITTED INFORMATION DISCLOSURE  
STATEMENT**

Honorable Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

It has come to applicant's attention that several Information Disclosure Statements previously submitted included inadvertent typographical errors or incomplete information.

Specifically, the Information Disclosure Statement filed February 1, 2000, included the following inaccuracy:

1) U.S. Patent 5,616,944 was incorrectly listed with the inventor as Miutani et al. The correct inventor should be Mizutani et al.

The Information Disclosure Statement filed October 24, 2000, including the following inaccuracies:

1) U.S. Patent No. 5,851,440 was incorrectly listed with an issue date of 12/01/1998. The correct issue date is 12/22/1998.

2) U.S. Patent No. 4,743,567 was incorrectly listed with the inventor as Pandya et al. The correct inventor should be Pandya et al.

3) U.S. Patent No. 5,514,879 was incorrectly listed with an issue date of 05/01/1996. The correct issue date is 05/07/1996.

4) The Hatalis et al. article was incorrectly listed with the wrong page numbers. The correct page numbers are 361-364.

The above inaccuracies are corrected on the 1449 Form attached hereto. The Examiner is requested to initial the attached PTO Form 1449 evidencing consideration of the above references and line through these references on the previously submitted Information Disclosure Statements to ensure that the correct information is printed correctly on any issued patent.

Respectfully submitted,

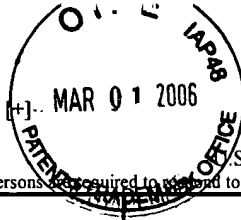


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PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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|  |  |   |    |                          |                        |                         |
|--|--|---|----|--------------------------|------------------------|-------------------------|
| Substitute for form 1449A/PTO<br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br>(use as many sheets as necessary) |  |   |    | <b>Complete if Known</b> |                        |                         |
|  |  |   |    | Application Number       | 08/818,884             |                         |
| Sheet  |  | 1 | of | 1                        | Filing Date            | March 17, 1997          |
|  |  |   |    |                          | First Named Inventor   | Shunpei YAMAZAKI et al. |
|  |  |   |    |                          | Group Art Unit         | 2871                    |
|  |  |   |    |                          | Examiner Name          | D. Nguyen               |
|  |  |   |    |                          | Attorney Docket Number | 0756-1653               |

| U.S. PATENT DOCUMENTS |                          |                      |                                      |  |  |  |
|-----------------------|--------------------------|----------------------|--------------------------------------|--|--|--|
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | U.S. Patent Document |                                      | Name of Patentee or Applicant of Cited<br>Document | Date of Publication of Cited<br>Document<br>MM-DD-YYYY | Pages, Columns, Lines, Where Relevant<br>Passages or Relevant Figures Appear |
|                       |                          | Number               | Kind Code <sup>2</sup><br>(if known) |  |  |  |
|                       |                          | 5,616,944            |                                      | Mizutani et al.                                    | 04/01/1997   |  |
|                       |                          | 5,851,440            |                                      | Tanaka et al.                                      | 12/22/1998   |  |
|                       |                          | 4,743,567            |                                      | Pandya et al.                                      | 05/10/1988   |  |
|                       |                          | 5,514,879            |                                      | Yamazaki   | 05/07/1996   |  |
|                       |                          |                      |                                      |  |  |  |
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| FOREIGN PATENT DOCUMENTS          |                          |                         |                     |                                      |  |  |  |                |
|-----------------------------------|--------------------------|-------------------------|---------------------|--------------------------------------|--|--|--|----------------|
| Examiner<br>Initials <sup>2</sup> | Cite<br>No. <sup>1</sup> | Foreign Patent Document |                     |                                      | Name of Patentee or<br>Applicant of Cited Document | Date of Publication of Cited<br>Document<br>MM-DD-YYYY | Pages, Columns, Lines,<br>Where Relevant<br>Passages or Relevant<br>Figures Appear | T <sup>6</sup> |
|                                   |                          | Office <sup>3</sup>     | Number <sup>4</sup> | Kind Code <sup>5</sup><br>(if known) |  |  |  |                |
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|                                   |                          |                         |                     |                                      |  |  |  |                |

| OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS |                          |   |                |
|---|--------------------------|---|----------------|
| Examiner<br>Initials*                             | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the<br>item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s),<br>publisher, city and/or country where published. | T <sup>2</sup> |
|   |                          | M.K. HATALIS et al., <i>High-Performance Thin-Film Transistors in Low-Temperature Crystallized LPCVD Amorphous Silicon Films</i> , IEEE Electron Device Letters. Vol. 1, EDL8, No. 8, August, 1987, Pages 361-364.  |                |
|   |                          |   |                |
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|-----------------------|--|--------------------|--|
| Examiner<br>Signature |  | Date<br>Considered |  |
|-----------------------|--|--------------------|--|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.